

04-04-02

2164P

AMENDMENT TRANSMITTAL LETTER

Docket No.
E3879.0014/P014Application No.
09/603,389Filing Date
June 23, 2000Examiner
WasylchakArt Unit
2164

Applicant(s): Vladimir Neyman, et al.

Invention: COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

RECEIVED

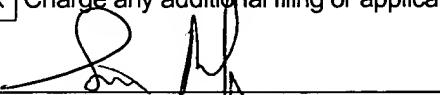
Transmitted herewith is an amendment in the above-identified application.

APR 10 2002

The fee has been calculated and is transmitted as shown below.

Technology Center 2100

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	47	- 34 =	13	x 18	\$234.00
Independent Claims	8	- 5 =	3	x 84	\$252.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					\$486.00

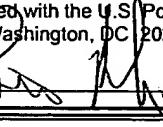
 Large Entity Small Entity No additional fee is required for this amendment. Please charge Deposit Account No. 04-1073 in the amount of \$ 486.00
A duplicate copy of this sheet is enclosed. A check in the amount of \$ _____ to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073
as described below. A duplicate copy of this sheet is enclosed. Credit any overpayment. Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

 Steven Rubin
 Attorney Reg. No.: 43,063

Dated: April 2, 2002

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
 1177 Avenue of the Americas
 41st Floor
 New York, New York 10036-2714
 (212) 896-5486

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV059555328US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 4/2/02

Signature: 

(Steven Rubin)



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 886.00)
Complete if Known

Application Number	09/603,389
Filing Date	June 23, 2000
First Named Inventor	Vladimir Neyman
Examiner Name	Wasylchak
Group Art Unit	2164
Attorney Docket No.	E3879-0014

RECEIVED**METHOD OF PAYMENT (check all that apply)**
 Check Credit Card Money Order Other None
 Deposit Account

Deposit Account Number 04-1073

Deposit Account Name Dickstein Shapiro Morin & Oshinsky LLP

The Commissioner is hereby authorized to: (check all that apply)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Charge fee(s) indicated below | <input checked="" type="checkbox"/> Credit any overpayments |
| <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application | |
| <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | |

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)		(\$)		0.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	47	-** =	13	x	18	=	234.00	Extra Claims	Fee from below	Fee Paid
Independent Claims	8	-** =	3	x	84	=	252.00			
Multiple Dependent						=				

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)		486.00	

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1,440	218	720 Extension for reply within fourth month
128	1,960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,280	241	640 Petition to revive - unintentional
142	1,280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	740	246	370 Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify)			

'Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

400.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Steven Rubin	Registration No. (Attorney/Agent)	43,063
Signature	(Signature)		
Date	April 2, 2002		

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV059555328US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 2, 2002 Signature: (Signature) (Steven S. Rubin)